Japan has ratified Nagoya Protocol! Are there any consequences for scientists?

Your research may be seriously impacted, if you use biological resources transferred from overseas into Japan, without adhering to the necessary ABS* procedures. (*please see the reverse side for details)

In the worst case...
- You may be subject to arrest and prosecution in the resource providing country.
- Your research may be suspended or interrupted.
- Your application for some grants will not be accepted.
- Your paper may be rejected by some journals.

You need to be particularly careful in the following situations:

**Collecting biological sample overseas**
You need to have permission from the government of the resource providing country for the investigation and/or collection of biological samples.

**Exporting biological samples from overseas**
Organisms are the property of the providing country. You may face criminal charges, if you export them from the providing country, without proper authorization.

**Biological samples, brought into Japan, from your home country**
ABS procedures are necessary for the transfer of samples to Japan from your country, even if such samples were included in your previous research materials, while in your own country.

**Receiving or purchasing biological samples from overseas**
We must pay attention to biological samples sent by your collaborators overseas, or foreign-origin samples purchased in the market in Japan.

When you utilize biological materials from overseas (the countries outside of Japan, including your own home country) for your research, ABS procedures* are required, in compliance with the Convention on Biological Diversity and the Nagoya Protocol. (*please see the reverse side for details)

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The Convention on Biological Diversity (CBD) is an international convention established for the following purposes:
1. the conservation of biological diversity
2. the sustainable use of its components
3. the fair and equitable sharing of benefits arising from the use of genetic resources.

The Nagoya Protocol (NP) is a supplementary agreement to the CBD to implement purpose #3, which is referred to as ABS (Access and Benefit Sharing). Among states which are parties to the CBD and NP, organisms are treated as properties of the country where they originate, and both countries providing and countries utilizing genetic resources shall share the benefits arising from utilization of these resources.

Since Japan has ratified the NP, the ABS procedures, outlined below (A-C) have become essential to compliance with the CBD and the NP.

(A) MOU/MOA (memorandums of understanding/agreement) should be concluded between your and your collaborator’s institutes in the country providing the genetic resources (providing country). In the MOU/MOA, you should describe the MAT (mutually agreed terms for ABS), including benefit sharing (i.e. co-authorship of papers, transferring experimental technology, etc.).

(B) PIC (prior informed consent) should be obtained from the government of the providing country, before studying, acquiring and transporting samples.

(C) After step (A) and (B), if an IRCC (Internationally Recognized Certificate of Compliance) is acquired from the ABS-Clearing House (ABS-CH), you should follow the ABS-guideline from Japanese government.

Japan has issued a domestic ABS measures (ABS-guidelines) to secure an accurate and smooth implementation of the NP and, in so doing, contributes to the conservation and sustainable use of biological diversity. (http://www.env.go.jp/press/104061.html)

What is a “genetic resource”?

In CBD, a “genetic resource” is defined as any material of plant, animal, microbial or other origin (including viruses), containing functional units of heredity, which possess actual or potential value.

“Genetic resources” include living or dead organisms and parts thereof, frozen, dried or powdered materials of them, and their DNA/RNA extracts. ABS procedure are required to transfer those materials from the country of origin into Japan.

In some country, derivatives (naturally occurring biochemical compounds) may be considered as included within the scope of “genetic resources.” (please contact ABS task force team (abs@nig.ac.jp) for details)

Are the ABS procedures necessary for basic science?

Although basic research normally is not pursued for financial benefit, ABS procedures are necessary to access overseas biological resources, to observe the requirements of the CBD and NP.

To share the “benefit” arising from the use of genetic resources for basic science, you can offer the counterpart non-monetary benefits, by sharing research results (i.e. co-authorship of papers), cooperation in education and training, providing lab equipment and books, or invitations for researchers and students.

What the ABS task force team can do for you?

Since each country has different laws, regulations and rules for the handling of genetic resources, we often face difficulties in completing ABS procedures.

The ABS task force team for academia in National Institute of Genetics is the primary contact point for all the issues involving ABS, NP and CDB. They will advise and support you in the preparation of documents for MAT/PIC, to access and obtain the genetic resources overseas, and in constructing an ABS-responsive framework in universities and research institutes.

Before acquiring genetic resources from overseas, please contact the ABS task force team for academia in NIG!

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